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| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|------------------------|-----------------------|------------------------|
| 10/774,818         | 02/09/2004             | Mark G. Johnson       | 023-0025               |

22120  
 ZAGORIN O'BRIEN & GRAHAM, L.L.P.  
 7600B N. CAPITAL OF TEXAS HWY.  
 SUITE 350  
 AUSTIN, TX 78731

CONFIRMATION NO. 9232

## FORMALITIES LETTER



\*OC000000012575953\*

Date Mailed: 05/10/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
 Commissioner for Patents  
 P.O. Box 1450  
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06/25/2004 AOSHAM1 00000020 10774818

01 FC:1051 130.00 DP

*A copy of this notice **MUST** be returned with the reply.*

BINH

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Mark G. Johnson, Thomas H. Lee & James M. Cleeves

Title: INTEGRATED CIRCUIT INCORPORATING THREE-DIMENSIONAL  
MEMORY ARRAY WITH DUAL OPPOSING DECODER  
ARRANGEMENT

Application No.: 10/774,818

Filed: February 9, 2004

Atty. Docket No.: 023-0025

Conf. No.: 9232

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June 22, 2004

Mail Stop Missing Parts  
COMMISSIONER FOR PATENTS  
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Alexandria, VA 22313-1450

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
APPLICATION-*FILING DATE GRANTED***

Dear Sir:

This paper is responsive to the Notice to File Missing Parts of NonProvisional Application mailed May 10, 2004, Part 2 of which is enclosed herewith. The Oath or Declaration for the above-identified matter was indicated as missing or unexecuted. An Oath or Declaration in accordance with 37 C.F.R. § 1.63 is enclosed herewith.

Please ☒ apply the enclosed check, in the amount of \$130.00, toward  
☐ charge Deposit Account No.: 50-0631, in the amount of \_\_\_\_\_, for  
the following fees:

- ☐ \$770.00 to cover the Basic Filing Fee under 37 C.F.R. § 1.16(a);
  - ☐ Small entity status is entitled to be asserted for this application.
- ☐ \_\_\_\_\_ to cover Additional Claim Fees under 37 C.F.R. § 1.16(b-d).
- ☒ Surcharge under 37 C.F.R. § 1.16(e) for filing the Basic Filing Fee or Oath or Declaration on a date later than the filing date of the application, in the amount of:
  - ☒ \$130.00 (other than small entity) or ☐ \$65.00 (small entity).
- ☐ Surcharge under 37 C.F.R. § 1.16(e) for filing the Basic Filing Fee or cover sheet on a date later than the filing date of the provisional application, in the amount of:

☐ \$130.00 (other than small entity) or ☐ \$65.00 (small entity).

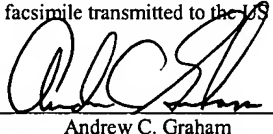
☐ Fee under 37 C.F.R. § 1.17(a), \$110.00, associated with a Petition for Extension of Time (1 month) under 37 C.F.R. § 136(a).

In addition, the Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. § 1.16 or 1.17 that may be required (a) by this paper or (b) during the pendency of this application, and to similarly credit any overpayment, to Deposit 50-0631.

**CERTIFICATE OF MAILING OR TRANSMISSION**

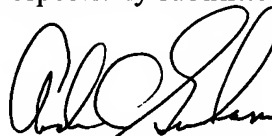
I hereby certify that, on the date shown below, this correspondence is being

- ☒ deposited with the US Postal Service with sufficient postage as first class mail, in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
- ☐ facsimile transmitted to the US Patent and Trademark Office.

  
Andrew C. Graham

6/22/04  
Date

Respectfully submitted,



Andrew C. Graham, Reg. No. 36,531  
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(512) 338-6313  
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EXPRESS MAIL LABEL: \_\_\_\_\_